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07/31/98
JC504 U.S. PTO

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: **Ivan A. Bachelder and Cyril C. Marrion Jr.**For: **METHOD FOR REFINING GEOMETRIC DESCRIPTION MODELS USING IMAGES**Attorney Docket Number: **C98-013**

JC523 U.S. PTO
09/127676
07/31/98

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

NEW APPLICATION TRANSMITTAL

Dear Sir:

Transmitted herewith for filing is the patent application **Ivan A. Bachelder and Cyril C. Marrion Jr.**, for **METHOD FOR REFINING GEOMETRIC DESCRIPTION MODELS USING IMAGES**.

EXPRESS MAIL CERTIFICATE

Express Mail Label Number **EM 155 930 989 US**. Date of Deposit: 7/31/98. I hereby certify that this correspondence is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above, and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

Russ Weinzimmer
Russ Weinzimmer

1. Papers Enclosed That Are Required for Filing Date under 37 CFR 1.53(b)16 pages of specification (including pages of claims and page of abstract)10 claims5 sheets of drawings (informal)**2. Fee Calculation (37 CFR 1.16)**

| Item | Citation | Calculation | Amount |
|------------------------------|----------|-----------------------------------|-----------|
| Basic filing fee | 1.16(a) | | \$ 790.00 |
| Additional claim fees: | | | |
| Independent claims | 1.16(b) | (2 indep. claims - 3) x 82.00 | \$ 0 .00 |
| Total claims in excess of 20 | 1.16(c) | (10 total. claims - 20) x 22.00 | \$ 0 .00 |
| Multiple dependent claims | 1.16(d) | (mult. dep. claims) = 270.00 | \$ 0.00 |
| Filing Fee Calculation: | | | \$ 790.00 |

3. Method of Payment of Fees

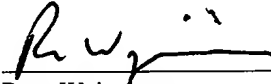
Charge Account No. 03-2357 in the amount of \$ 790.00. A duplicate of this transmittal is attached.

09127676-073198

4. Authorization to Charge Additional Fees

The Commissioner is hereby authorized to charge any fees under 37 CFR 1.16 and 1.17 which may be required during the entire pendency of this application, to Deposit Account No. **03-2357**.

Respectfully Submitted,



Russ Weinzimmer
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03-2357

Please type a plus sign (+) inside this box ➡ ☐

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| | | |
|--|--|---|
| UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 CFR 1.53(b)) | Attorney Docket No. | C98-013 |
| | First Inventor or Application Identifier | Ivan A. Bachelder |
| | Title | Method for Refining Geometric Description Models using Images |
| | Express Mail Label No. | EM 155 930 989 US |

| | |
|---|--|
| APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents. | ADDRESS TO: Assistant Commissioner for Patents Box Patent Application Washington, DC 20231 |
|---|--|

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)
 2. ☒ Specification [Total Pages **16**]
(preferred arrangement set forth below)
 - Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R&D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
 3. ☒ Drawing(s) (35 USC 113) [Total Sheets **5**]
 4. Oath or Declaration [Total Pages ☐ - a. ☐ Newly executed (original or copy)
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]
 - i. ☐ Deletion of Inventor(s)
Signed statement attached deleting inventor(s) named
in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference (useable if Box 4b is checked)
The entire disclosure of the prior application, from which a copy of
the oath or declaration is supplied under Box 4b, is considered as
being part of the disclosure of the accompanying application and is
hereby incorporated by reference therein.

6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable)
 - a. ☐ Computer Readable Copy
 - b. ☐ Paper Copy (identical to computer copy)
 - c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS


8. ☐ Assignment Papers (cover sheet & document(s))
9. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(where there is an assignee)
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
14. ☐ Small Entity Statement(s) ☐ Statement filed in prior application,
Status still proper and desired.
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17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:
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18. CORRESPONDENCE ADDRESS

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| CITY | Natick | STATE | MA | ZIP CODE | 01760 | |
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Method for Refining Geometric Description Models using Images

Field of the Invention

This invention relates to machine vision, and particularly to machine vision systems that use geometric descriptions as models.

Background of the Invention

Many machine vision systems use geometric descriptions (GD) as canonical models for inspecting or recognizing objects within images. Often, such GD-based models must accurately reflect the physical dimensions of objects so that the exact position of the objects can be determined in an image, and so that precise tolerance checking and defect detection can be performed. For example, vision-based automatic surface mounter (SMD) machines typically employ GD-based models of leaded devices and chips to accurately inspect and place them such that their leads substantially contact the pads of a printed circuit board.

The process of creating accurate GD-based models of objects, either by manually measuring the dimensions of an object, or by extracting the dimensions from manufacturer specifications, can be very tedious, especially when the objects are complicated. Furthermore, there are always new objects to inspect, which means the process of creating an accurate GD-based model must be

performed repeatedly. For example, in addition to the many types of leaded components, BGA devices, and chips that SMD mounter manufacturers must describe to create models, there are all sorts of complicated odd-form components, such as coils and connectors.

Existing methods, such as normalized correlation search, typically create featural models (e.g. the 2D spatial arrangement of features such as edges or intensity values based on the appearance of an object), or surface representations (e.g., a 3D volumetric representation of an object's surface) rather than GDs. Thus, there currently exists a need for a method for easily creating GD models.

Summary of the Invention

To create a refined GD from an image, a rough GD of an object is provided, for example, by manually drawing a graphical depiction of the object on top of its image, using a GUI, for example, and/or by using CAD data. Next, the rough GD is refined such that it more closely fits an instance of the object in the image.

The resulting GD is general enough to be used in conjunction with certain automatic inspection or recognition tools that require GDs as input, such as the tool described in co-pending US patent application Serial No. 09/054,968, filed 4/3/98, entitled "Object Image Search Using Sub-models". The result is a GD that may easily be interpreted, drawn, and even edited by a user in meaningful,

intuitive (i.e. geometric) ways. Furthermore, the GD is more compact than featural descriptions of the object.

This invention is a method for refining GD models of objects such as the body of an odd-form SMD device. In a preferred embodiment, the method allows the user to quickly sketch a set of rough lines (and/or arcs) so as to specify a curvilinear GD model of the object upon the image, which is then automatically refined so as to substantially conform to the high contrast boundaries in the image. The user (or client) may specify a number of geometric constraints to facilitate this refinement process, such as the requirement that each of the vertices of the final refined curvilinear GD model have right angles. Following this refinement process, the user may then correct any remaining inaccuracies in the GD model by graphically dragging and dropping the curvilinear vertices and segments using a GUI (graphical user interface).

The invention is useful in a variety of areas where geometric model-based machine vision is employed, including general-purpose end-user machine vision systems. Moreover, the invention allows the creation of GD-based models that are graphically editable.

The invention can be used with any application requiring GD models for objects. This includes not only automatic recognition and inspection applications, but also CAD/CAM applications.

Brief Description of the Drawing

The invention will be more fully understood from the following detailed description, in conjunction with the accompanying figures, wherein:

Fig. 1 is a flow chart showing the main steps of the invention;

Figs. 2A-2E is a sequence of representations of an exemplary image of an object and a rough curvilinear model, sub-models of the rough models, and the found poses thereof, a refined model, and a constrained refined model;

Fig. 3 is a detailed flow chart of the steps of a preferred embodiment of the invention;

Fig. 4A is a full model showing overlapping sub-models of the full model; and

Fig. 4B is an illustration of a sub-model table having pointers to a storage array of normalized models and sub-models, and the image search models corresponding thereto.

Detailed Description of the Preferred Embodiments

For clarity, method steps are indicated by reference numbers in parentheses, and elements are indicated with standard reference numbers. To enhance comprehension, the invention will first be explained in general terms, and will then be explained in further detail.

Referring to Fig. 1 and the sequence of Figures 2A – 2E, preliminarily, an image 20 is provided (10). In a preferred embodiment, a user (or any client)

provides a rough geometric description (GD) model (12) of the object 22 in the image 20. This can be done simply by drawing with cursor 23 the model 24 over the image of the object 22, as shown in Fig. 2A. Here, the model consists of a polygon 24 drawn by the user.

Next, the sub-models 26 of Fig. 2B of the model 24 are extracted (14) from the model (here shown in their original rough poses) as explained in either of co-pending US patent application Serial No. 09/054,968, filed 4/3/98, entitled "Object Image Search Using Sub-models", herein incorporated by reference, or co-pending US patent application Serial No. 09/086,904, filed 5/29/98, entitled "Robust Sub-model Shape-finder", herein incorporated by reference.

Then, poses 27 of the sub-models 26 are found (16) in the image 20, as shown in Fig. 2C. This means that the sub-models are used to search the image 20 for portions that resemble the sub-model, and the result of the search is a plurality of multi-dimensional positions (poses) that the sub-models must assume to match respective portions of the image. Each multi-dimensional position can include the usual dimensions of translational position, e.g., x,y position, but also can include the rotational angle, skew, scale, etc. that the sub-model must exhibit to match that portion of the image. Further details of this step (16) will be provided below.

With reference to Fig. 2D, next, the found sub-model poses 27 are used (17) to construct a refined model 28, as explained in further detail below.

As illustrated in Fig. 2E, optionally, constraints can be used to further refine the refined model (18). Examples of constraints include requiring that

each corner 29 of the model be characterized by an angle of 90 degrees. Using constraints to further refine the model will be explained in further detail below.

Also optionally, the further refined model 28 can be refit (19) to the found sub-model poses to provide a new pose 28A of the further refined model 28.

Referring to Fig. 3, providing further details of step 14 of Fig. 1, a rough geometric description (GD) model for an object is specified (30) as a curvilinear shape with sub-models, as generally outlined in the co-pending US patent application Serial No. 09/054,968, filed 4/3/98, entitled "Object Image Search Using Sub-models". Sub-models for each of the vertices in the supplied rough GD model 30 are automatically generated (31). Automatic generation may, for example, be similar to assigning one sub-model per vertex, as described in the co-pending US patent application Serial No. 09/054,968, filed 4/3/98, entitled "Object Image Search Using Sub-models". The percentages of the segments connected by each vertex contributing to each sub-model might, for example, be determined using the maximization strategy described in the model specification section of the co-pending US patent application Serial No. 09/086,904, filed 5/29/98, entitled "Robust Sub-model Shape-finder". Note that using this combination of strategies for sub-model specification results in a set of overlapping "corner shapes", one per vertex, as shown in Fig. 4A. However, it is also possible to use other percentages for the sub-models for the purposes of the present invention.

Further elaborating on step 16 of Fig 1, finding poses (32) of the sub-models in the image involve two phases: training and execution (searching).

Training: Given the model specification 30 (e.g., the polygon 24 of Fig. 1) created by the client, as well as the sub-model specification determined automatically (31) as described above, training progresses in the manner described in co-pending US patent application Serial No. 09/054,968, filed 4/3/98, entitled "Object Image Search Using Sub-models". If a rough pose 33 of the model within client space has been provided (as is the case when the rough GD 24 has been created by directly "drawing" it over an image of the object), training only needs to be performed for the FPL (fine part location) portion of the sub-model locator. Otherwise, the user (client) provides the sub-sample factor that will be used to train the full model and subsequently run the CPL (coarse part location) phase of the sub-model locator 32. The user also provides the calibration transformation 34. The calibration transformation is the transformation between the client coordinate system and the image coordinate system, where, for example, the client coordinate system can be the physical world coordinate system. Thus, in this case, the client coordinate system relates results from the image coordinate system to physical world coordinate system.

Note that this training usually results in a normalized "corner shape" and its respective search model for each vertex in the GD (see Figure 4B, which figure is explained in further detail in co-pending US patent application Serial No. 09/054,968, filed 4/3/98, entitled "Object Image Search Using Sub-models"). Typically, a coarse search model, such as a PATQUICK search model, is trained for the full model (if CPL is required), and fine search models, such as PATMAX search models, are trained for each of the sub-model descriptions. When training

image search models for the sub-models, any corner rounding specified in the original model is typically modeled explicitly rather than ignored.

An alternative to finding the full model and the sub-models using PATQUICK and PATMAX would be to use normalized correlation, GHT, or any other search technique. To search over the degrees of freedom (e.g. skew) that are not normally handled by these techniques, one would simply search for many different versions of the sub-models. The different versions of the sub-models are created by transforming the original sub-model by a sampling of transformations within the combined uncertainty ranges for each such degree of freedom. Then, take the pose of the sub-model with the best score (for example, correlation score) as the pose of the found sub-model. For example, if the skew uncertainty range were between -5 and 5 degrees, and the aspect uncertainty range were between 0.9 and 1.1, then one could create a set of transformed sub-models, where the transformations used to create these sub-models combine all skews at 1 degree intervals between -5 and 5 degrees with all aspect ratios at 0.01 intervals between 0.9 and 1.1, and then use normalized correlation to find each of the sub-models. Composing the transform associated with the sub-model found with the largest score with the position at which that sub-model was found would then yield the found pose for the original sub-model.

Execution: Following the training phase, the CPL phase of the sub-model locator is executed on an image of the object supplied by the client in order to determine a rough pose of the GD in the image (if one has not been provided by the client), followed by the FPL phase of the locator. The execution of these

stages is described in the co-pending US patent application Serial No. 09/054,968, filed 4/3/98, entitled "Object Image Search Using Sub-models". For CPL (if executed), the client supplies the translational, rotational, angular, aspect, and any other uncertainties of the object. Note that the translational uncertainty is typically specified implicitly by providing only a region of interest (ROI) of the run-time image. For FPL, the client provides two parameters 35: the segment accuracy parameter is the upper bound on the angular uncertainty of each of the segments in the GD, and the vertex accuracy is an upper bound on the positional uncertainty of each of the vertices in the GD. These two parameters 35 are used to generate the translational, angular, and skew uncertainties for each of the sub-models (the uncertainties for other degrees of freedom are usually assumed to be negligible).

Specifically, the positional uncertainty of each sub-model is set to the vertex accuracy parameter, while the angular and skew uncertainties of the sub-models are set to twice the segment accuracy parameter (since there are two segments per sub-model) 35. The vertex accuracy parameter is an error radius around the vertex that indicates where to search for a vertex, and the segment accuracy parameter is a range of angles. Typically, segment and vertex accuracies are on the order of a few pixels and a few degrees, respectively. Note that larger accuracy parameters result in the method being more tolerant to large variations in the GD, but will also cause the method to execute slowly (at least on serial machines) and will increase the chance of confusing the various sub-models in the image. Thus, a smaller accuracy parameter will provide more

disambiguation of sub-models, and greater speed, but may eliminate some sub-models from consideration.

Note that the final fit degrees of freedom input is not important here, since the final pose is not used (Also, any errors resulting from the final fit are not used). Running the FPL stage of the locator tool effectively projects the sub-models for each vertex into the image according to both their poses within the rough model and the rough pose of the model in the image, then finds their poses in the image. This operation produces a set of found sub-model poses.

Sub-model Validation (36): Assuming that an error has not occurred when running the shape-finder tool (see the co-pending US patent application Serial No. 09/054,968, filed 4/3/98, entitled "Object Image Search Using Sub-models" for a description of such errors), the method then employs the methods for validating sub-models and refining the model pose as described in the co-pending US provisional patent application Serial No. 60/080,682, filed 4/3/98, entitled "Improved Object Image Search using Validated Sub-model Poses", herein incorporated by reference. The inter sub-model checks include discarding those sub-models found with low search scores (anything lower than 0.5, for example, when using the Cognex Patmax tool as the underlying search model), and those sub-models that have high RMS fit errors (the threshold for which depends on the application).

Intra sub-model checks include discarding sub-models based on deviation between their found pose in client space and their expected pose according to the CPL model pose provided by the sub-model based shape finder tool

disclosed in co-pending US patent application Serial No. 09/086,904, filed 5/29/98, entitled "Robust Sub-model Shape-finder". The deviation thresholds used for this discard phase are determined using the vertex and segment accuracy parameters discussed above. Specifically, the translation limits are set to the vertex accuracy parameters, while the angle and skew limits are set to twice the segment accuracy parameter. Other limits are usually not set.

Shift Vertices to Remove Deviations in Model (38): The remaining found vertex poses are used to compute the vertex refinement to the initial rough GD such that all deviations in the image are removed, thereby creating an intermediate refined GD, which is generally the result of step (17) in Fig.1. Specifically, the vertex pose for each found vertex is transformed back into model space (using the rough pose and the pose of the sub-models in the model) in order to determine the new x,y positions for that vertex in the new intermediate GD. Note that vertices that have not been found (or have been discarded) keep their original positions.

Constrain Vertex Angles (40)(also step (18)): If any constraints 42 on the GD have been specified by the client, the intermediate GD is then modified to conform to those constraints. Examples of such constraints include the stipulation that the final refined GD contain only 90 degree segments, or that all linear segments must be either horizontal or vertical. These constraints are satisfied by processing the intermediate GD using the following steps:

1. The dominant angle of the intermediate refined GD is computed by continually adding 90 degrees to each of the segment angles in the model until they all fall in the first quadrant, then taking the average of these new angles.
2. The intermediate model is rotated by minus the dominant angle. At this point, all segments in the model should be roughly vertical or horizontal.
3. For each vertex, if there is a vertical segment connected by that vertex, then the X coordinate of the vertex is set to the X coordinate centroid of that segment; if there is a horizontal segment connected by the vertex, then the Y coordinate is set to the Y coordinate of the centroid of that segment.
4. If the segments are not constrained to be horizontal or vertical, the model is rotated back by the dominant angle.

If no constraints are specified, then no changes are made to the intermediate GD.

Final Fit (44) (also step (19)): The found vertex poses from the sub-model based shape finder are fit to the corresponding vertices in the (final) refined GD in order to produce the final pose of the GD in the image. The fit may be performed, for example, in a manner similar to that described in co-pending US patent application Serial No. 09/054,968, filed 4/3/98, entitled "Object Image Search Using Sub-models", or in the co-pending US provisional patent application Serial No. 60/080,682, filed 4/3/98, entitled "Improved Object Image Search using Validated Sub-model Poses". This fit yields a final (refined) pose for the refined GD in the image.

Figures 2A-2E schematically illustrate the various stages of how a client might use the model refiner method of the invention on an image of a surface mount device with a rectilinear body.

Fig. 2A shows the rough model 24 for the device body 22 being drawn by the client to within 5 pixels for each vertex, and to within 5 degrees for each segment, the process of which determines by the rough GD and rough pose for the body.

Fig. 2B shows the decomposition of the model into sub-models 26 at each vertex, as projected into the image by the rough pose.

Fig. 2C shows the found sub-model poses 27 that result from running the FPL phase of the shape locator (note that the CPL phase was not required here, and, in this case, no sub-models were discarded). (Note: for purposes of illustration, this particular version of the tool used very small percentages to create the sub-models, so that they do not overlap as they typically do).

Fig. 2D shows the resulting refined model 28 provided by the invention.

Fig. 2E shows the further refined GD 28A with 90 degree corners 29 (thereby satisfying the 90 degree constraint specified by the client), as projected into the image by the refined pose.

Other modifications and implementations will occur to those skilled in the art without departing from the spirit and the scope of the invention as claimed. Accordingly, the above description is not intended to limit the invention except as indicated in the following claims.

CLAIMS

What is claimed is:

1. A method for refining a geometric description model of an object using an image of that object, the method comprising:
 - providing an image of the object;
 - providing a rough geometric description model of the object;
 - extracting sub-models from the rough geometric description model;
 - finding poses of the sub-models in the image; and
 - using the found sub-models to construct a refined geometric description model.
2. The method of claim 1, further including:
 - using constraints to further refine the refined model; and
 - refitting the further refined model to the found sub-model poses to provide a new pose of the further refined model.
3. The method of claim 2, wherein one of the constraints is an angular constraint.
4. The method of claim 1, wherein the model includes a wire frame structure.

5. The method of claim 4, wherein the wire frame structure includes vertices and segments connected thereto.
6. The method of claim 1, wherein the refined model for the object is required to satisfy certain user-defined geometric constraints.
7. The method of claim 6, wherein the geometric constraints specify that segments must form 90 degree angles at vertices.
8. The method of claim 1, wherein finding poses of the sub-models in the image includes:
 - validating the poses of the sub-models.
9. The method of claim 1, wherein providing a rough model of the object includes also providing a rough model pose.
10. A method for refining a geometric description model of an object using an image of that object, the method comprising:
 - providing an image of the object;
 - providing a rough geometric description model of the object; and
 - constructing a refined geometric description model using the image.

ABSTRACT

A method is disclosed for creating a refined geometric description (GD) of an object from an image of the object and a rough GD model of the object. The rough GD model of the object is provided, for example, by manually drawing a graphical depiction of the object on top of its image, using a GUI, for example, and/or by using CAD data. Next, the rough GD model is refined such that it more closely fits an instance of the object in the image. In preferred embodiments, a user can specify a geometric constraints for the refined model, such as requiring that each of the vertices of the final refined curvilinear GD model have right angles. The resulting GD model can be used with any application requiring a GD model of an object, including not only automatic recognition and inspection applications, but also CAD/CAM applications.

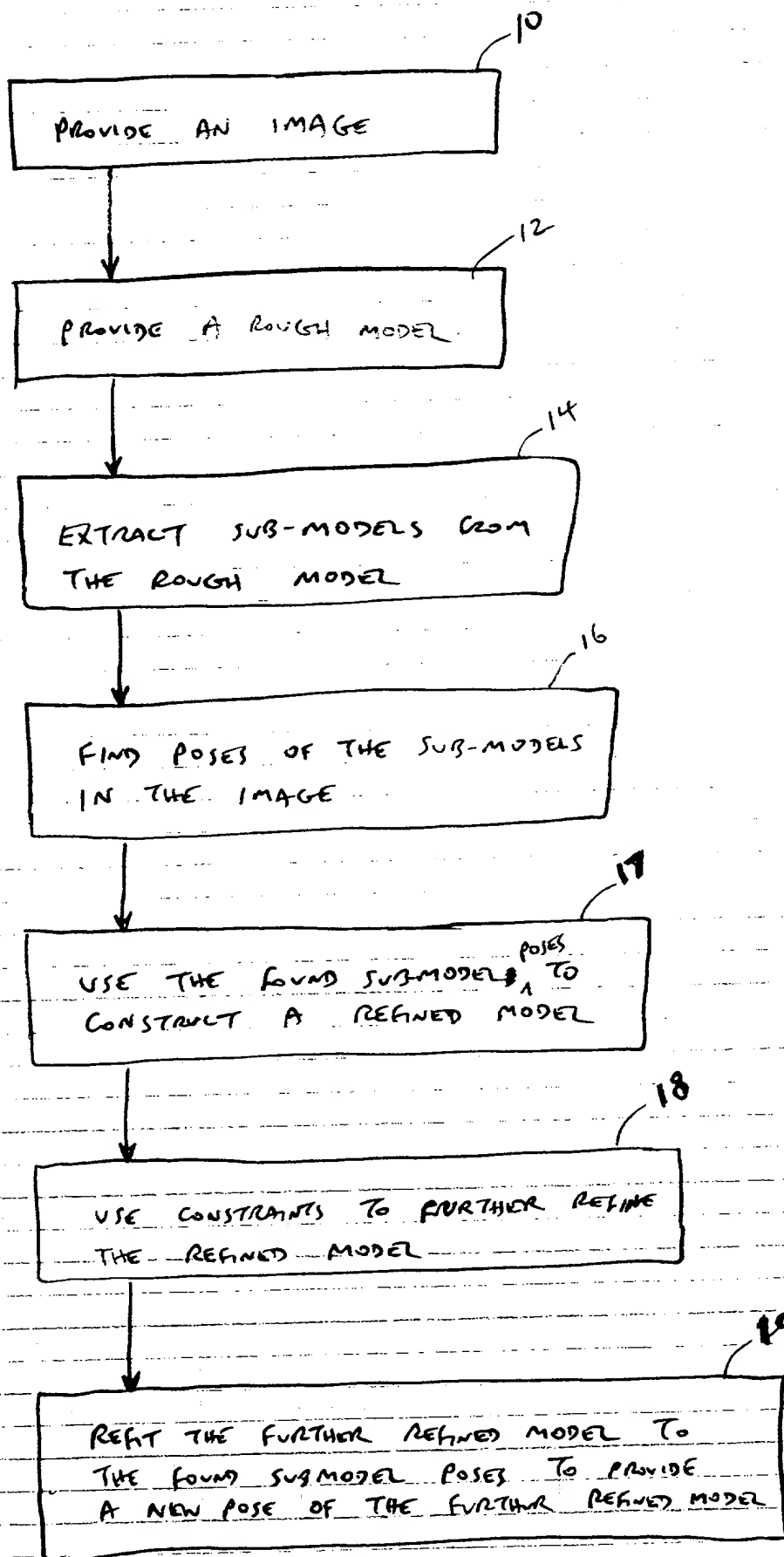


FIG. 1

Draw GD on Image to Generate a Rough Model & Pose

22

23

24

↖ 20

Automatically Generate Vertex Sub-models

76 26 26 26 26 76 76 26

20

Find Poses of Vertex Sub-models in Image

20

[illegible]

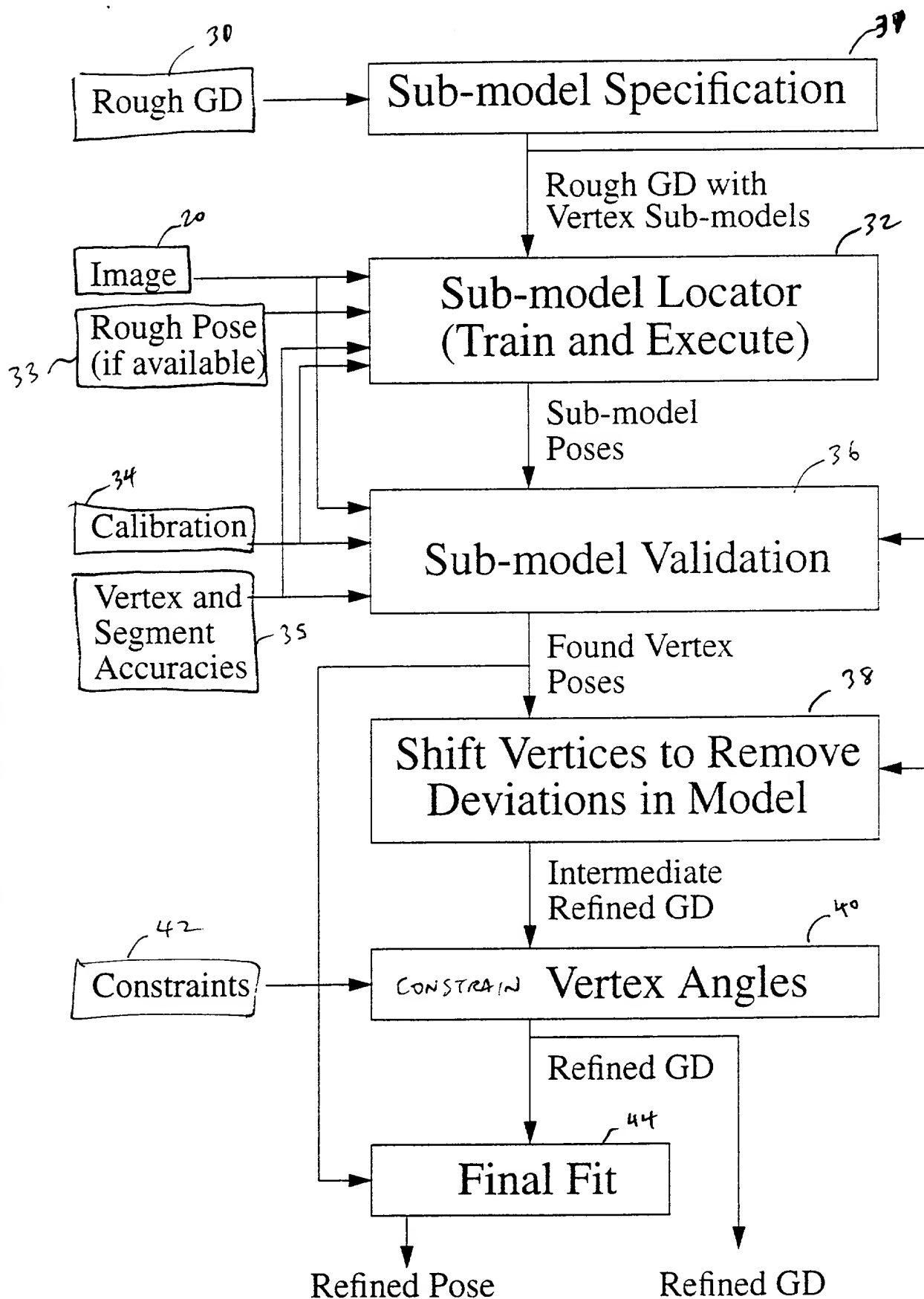


FIG. 3

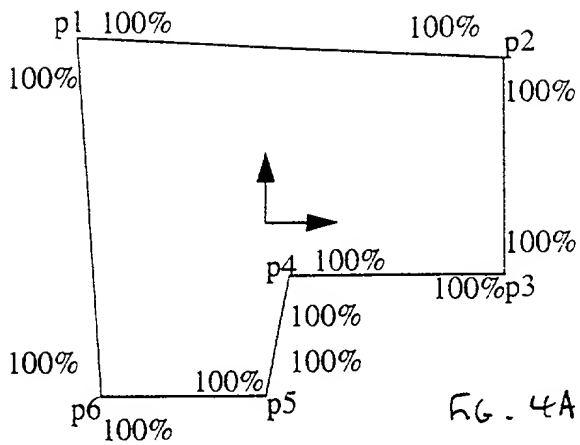


FIG. 4A

Sub-model table

| label | pose of sub-model coordinate frame storage in full model reference frame | |
|-------|--|--|
| 1 | trans = (p1), angle = a6 | |
| 2 | trans = (p2), angle = a1 | |
| 3 | trans = (p3), angle = a2 | |
| 4 | trans = (p4), angle = a3 | |
| 5 | trans = (p5), angle = a4 | |
| 6 | trans = (p6), angle = a5 | |

Model Storage:

Normalized Models Image search models

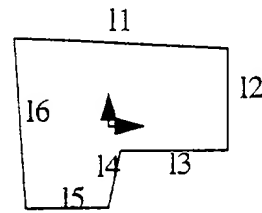
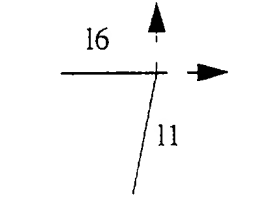
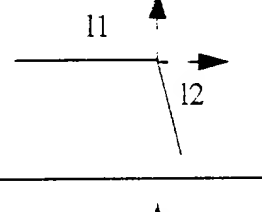
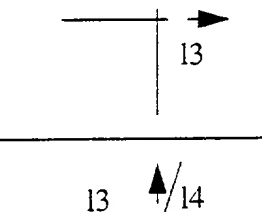
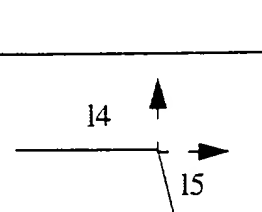
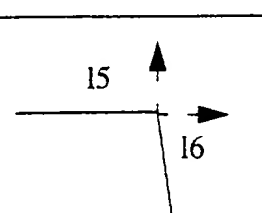
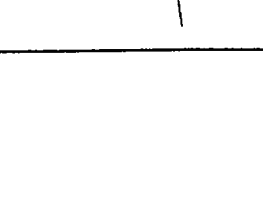
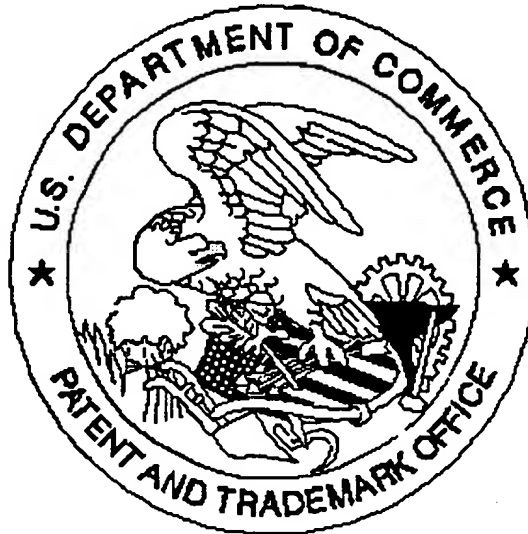
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FIG. 4B

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